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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Gregory D. Jay **Group Art Unit:** 1633
Serial No.: 09/556,246 **Examiner:** J. Kerr
Filed: April 24, 1999 **Attorney Docket:** 21486-026
Title: TRIBONECTINS

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing in the present application are the following documents:

- ☒ Information Disclosure Statement (4 pgs.);
- ☒ PTO Form 1449 (2 pgs., in duplicate);
- ☒ Copies of thirty-seven (37) references;
- ☒ Check No. 8570 for \$180.00; and
- ☒ Return Postcard.

Applicant believes that no additional fees are due in connection with this submission. However, the Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21486-026. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

IA Beattie

Ingrid A. Beattie, Ph.D., J.D.
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Attorney Docket: 21486-026 CIP

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

This Information Disclosure Statement is being filed more than three months after the filing date of this application, but before the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311.

☒ The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

☐ The Applicant hereby certifies, as specified in 37 C.F.R. §1.97(e), that:
(Check a or b below)

☒ a. Some items of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for this application more than three months prior to the filing of this Statement.

☐ b. No item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart for this application or, to the knowledge of the under signed after making reasonable inquiry, was known

to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Statement.

Accordingly, no fee or certification is required. 37 C.F.R. §1.97.

A copy of each of the information listed on the attached Form PTO-1449 is enclosed. It is respectfully requested that the Examiner consider completely the cited information, along with Notwithstanding any statements by the Applicant, the Examiner is urged to form her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested, any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 50-0311, Reference No. 21486-026.

Respectfully submitted,



Ingrid A. Beattie, Ph.D., J.D.

Registration No. 42,306

Attorney for Applicant

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